



# **Design Trade-offs in Personalization versus Privacy**

**Alfred Kobsa**

Donald Bren School of Information and Computer Sciences  
University of California, Irvine



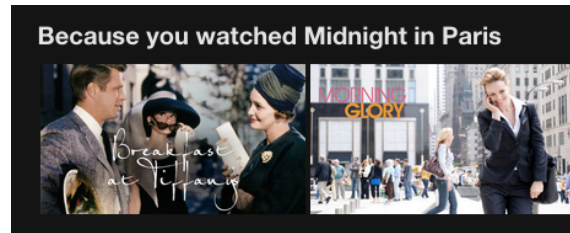
# “Traditional” personalization on the World Wide Web

Hello, Kobsa, Alfred. We have DVD Recommendations for you.



Kobsa's Gold Box

Recommendations for you in Patio, Lawn & Garden



Tailored email alerts

Customized content

Account access

Personal productivity tools

Wish lists

Product recommendations

Saved links

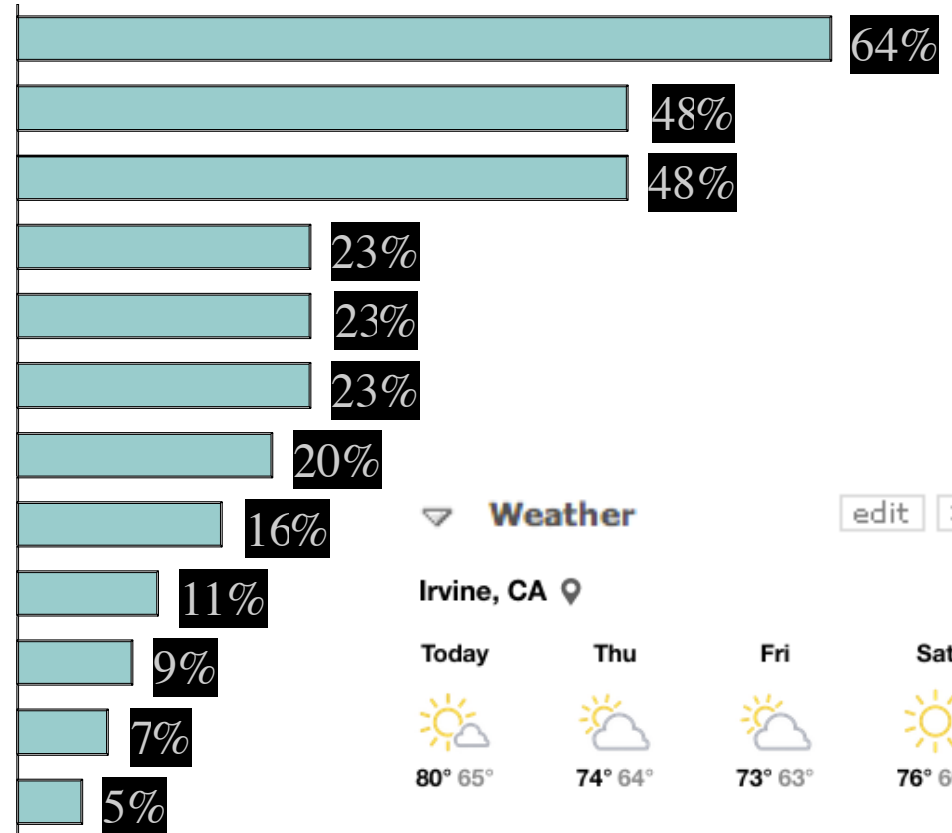
Express transactions

Targeted marketing/advertising

Custom pricing

Personalized content through non-PC devices

News clipping services



Weather

edit ✕

Irvine, CA

Today

Thu

Fri

Sat



80° 65°

74° 64°

73° 63°

76° 60°

My Recent Quotes

Symbol	Change	Last News	Charts
▲ GM	+0.30	31.05	
▲ AAPL	+4.23	135.30	
▲ MSFT	+0.51	28.81	
▲ \$QSGDEV	+1.75	1,138.22	

Percent of 44 companies interviewed (multiple responses accepted)

Source: Forrester Research

# Personalization delivers benefits for both users and providers

**Jupiter Communications, 1998:** Personalization at 25 e-commerce sites boosted the number of new customers by 47% in the first year, and revenues by 52%.

## **Nielsen NetRatings, 1999:**

- Registered visitors to portal sites spend over 3 times longer at their home portal than other users, and view 3 to 4 times more pages at their portal
- E-commerce sites offering personalized services convert significantly more visitors into buyers than those that don't.

## **Choicestream 2004 - 2009:**

- 80% interested in personalized content
- 60% willing to spend a least 2 minutes answering questions about themselves

**Tam & Hong, 2007:** Users who received personalized music recommendations downloaded twice as much music, rated it higher, and browsed less for it

**Eric Schmidt, 2011:** 20-30% of Amazon purchases and 60% of Netflix views are a result of personalized recommendations

**Accenture, 2016:** >50% are more likely to shop at a retailer in store or online that

- recognizes them by name.
- recommends options for them based on their past purchases or
- knows their purchase history.

# Downside of personalization

Personalized systems collect significantly more personal data than regular systems, since the data is needed for personalization. Moreover,

- the collected data often is not used at the moment, but is rather “laid in store” for possible future personalization.
- personal data is often collected in a very inconspicuous manner, so users don’t notice its collection.
- Users “agree” to this collection of personal data via blanket permissions

# Privacy concerns remain very high...



TRUSTe / NATIONAL CYBER SECURITY ALLIANCE



U.S. CONSUMER PRIVACY INDEX 2016



CONSUMER CONCERN



92% of US internet users worry about their privacy online



PRIVACY AWARENESS



31% understand how companies share their personal information



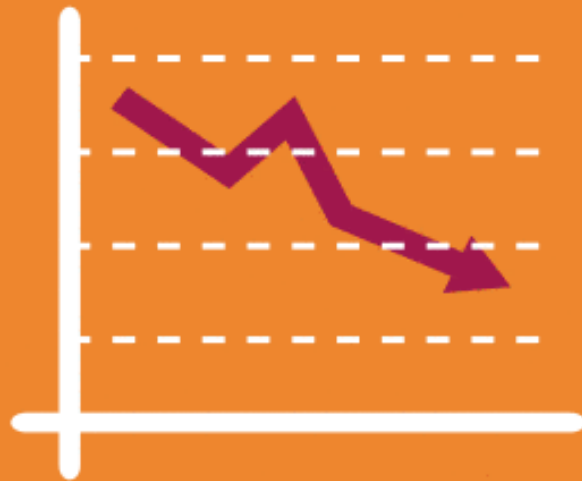
BUSINESS IMPACT



89% say they avoid companies that do not protect their privacy

## BUSINESS IMPACT REMAINS HIGH

Due to privacy concerns this year



**74%**  
have limited their  
online activity in  
the last year due to  
privacy concerns

**51%**  
have not clicked  
an online ad

**44%**  
withheld  
personal info

**32%**  
not downloaded an  
app/product

**28%**  
stopped an online  
transaction

**36%**  
have stopped  
using a website

**29%**  
have stopped  
using an app

**47%**  
said this was because  
they were asked to provide  
too much info

# Either Personalization or Privacy?

Balancing Privacy with Personalization

Privacy vs. Personalization: A Delicate Balance

- Personal data of users are indispensable for personalization
- Users are reluctant to give out personal data



☞ **Tradeoff between privacy and personalization?**

[print this article](#) | [e-mail a colleague](#)  
**Personalization Vs. Privacy Debate Heating Up**  
>>> ClickZ News

# Reconcile personalization with privacy

1. Find technical variants of personalization methods that are more privacy-friendly (e.g., using “privacy-enabling technologies”)
2. Find new forms of user interface and interaction design that
  - Increase transparency of personal data usage
  - Empower users to make informed privacy decisions

**“Privacy interventions”**

(ca. 2005)



# Researching privacy interventions in the design of user interfaces and user interaction

## Privacy Intervention

## Analysis methods used

"Transparency and Choice":

1. Contextualized communication of privacy practices
2. User control over personalization methods

3 control/treatment comparisons

Different personalization provider characteristics

Structural equation modeling  
Elaboration likelihood model

Different justifications for data disclosure

Linear regression

Different autofill paradigms

Structural equation modeling

# Current communication of privacy practices

## Get to Know Us

Careers  
Investor Relations  
Press Releases  
Amazon and Our Planet  
Amazon in the Community  
Amazon Devices

## Make Money with Us

Sell on Amazon  
Sell Your Services on Amazon  
Sell Your Apps on Amazon  
Become an Affiliate  
Advertise Your Products  
Self-Publish with Us  
> See all

## Amazon Payment Products

Amazon.com Rewards Visa Card  
Amazon.com Store Card  
Amazon.com Corporate Credit Line  
Shop with Points  
Credit Card Marketplace  
Amazon Currency Converter

## Let Us Help You

Your Account  
Shipping Rates & Policies  
Amazon Prime  
Returns & Replacements  
Manage Your Content and Devices  
Help

amazon.com

Australia Brazil Canada China France Germany India Italy Japan Mexico Netherlands Spain United Kingdom

6pm  
Score deals  
on fashion brands

AbeBooks  
Rare Books  
& Textbooks

ACX  
Audiobook Publishing  
Made Easy

AfterSchool.com  
Kids' Sports, Outdoor  
& Dance Gear

Alexa  
Actionable Analytics  
for the Web

AmazonFresh  
Groceries & More  
Right To Your Door

Amazon Local  
Great Local Deals  
in Your City

AmazonSupply  
Business, Industrial  
& Scientific Supplies

Amazon Web Services  
Scalable Cloud  
Computing Services

Audible  
Download  
Audio Books

BeautyBar.com  
Prestige Beauty  
Delivered

Book Depository  
Books With Free  
Delivery Worldwide

Bookworm.com  
Books For Children  
Of All Ages

Casa.com  
Kitchen, Storage  
& Everything Home

ComiXology  
Thousands of  
Digital Comics

CreateSpace  
Indie Print Publishing  
Made Easy

Diapers.com  
Everything  
But The Baby

DPRReview  
Digital  
Photography

East Dane  
Designer Men's  
Fashion

Fabric  
Sewing, Quilting  
& Knitting

Goodreads  
Book reviews  
& recommendations

IMDb  
Movies, TV  
& Celebrities

Junglee.com  
Shop Online  
in India

Kindle Direct Publishing  
Indie Digital Publishing  
Made Easy

Look.com  
Kids' Clothing  
& Shoes

MYHABIT  
Private Fashion  
Designer Sales

Shopbop  
Designer  
Fashion Brands

Soap.com  
Health, Beauty &  
Home Essentials

TenMarks.com  
Math Activities  
for Kids & Schools

Vine.com  
Everything  
to Live Life Green

Wag.com  
Everything  
For Your F

Warehouse Deals  
Open-Box  
Discounts

Woot!  
Discounts and  
Shenanigans

Yoyo.com  
A Happy Place  
To Shop For Toys

Zappos  
Shoes &  
Clothing

Conditions of Use **Privacy Notice** Interest-Based Ads © 1996-2015, Amazon.com, Inc. or its affiliates

# Current communication of privacy practices

## Help & Customer Service

[< All Help Topics](#)

### Security & Privacy

E-mails from Amazon.com  
Supply Chain Standards  
**Amazon.com Privacy Notice**  
Conditions of Use  
Choose a Strong Password  
Protect Your System  
Public PGP Key  
Report a Security Issue  
Your Amazon.com Bill of Rights  
Supported Browsers

### Quick Solutions

Track or Manage Purchases  
Manage Payment Options  
Return or replace items  
Change Name, E-mail, or Password  
Manage Address Book  
Manage Your Content and

[Security & Privacy >](#)

## Amazon.com Privacy Notice

**Last updated: March 3, 2014.** To see what has changed, [click here](#).

Amazon.com knows that you care how information about you is used and shared, and we appreciate your trust that we will do so carefully and sensibly. This notice describes our privacy policy. **By visiting Amazon.com, you are accepting the practices described in this Privacy Notice.**

- [What Personal Information About Customers Does Amazon.com Gather?](#)
- [What About Cookies?](#)
- [Does Amazon.com Share the Information It Receives?](#)
- [How Secure Is Information About Me?](#)
- [What About Third-Party Advertisers and Links to Other Websites?](#)
- [Which Information Can I Access?](#)
- [What Choices Do I Have?](#)
- [Are Children Allowed to Use Amazon.com?](#)
- [Does Amazon.com Participate in the Safe Harbor Program?](#)
- [Conditions of Use, Notices, and Revisions](#)
- [Examples of Information Collected](#)

### What Personal Information About Customers Does Amazon.com Gather?

The information we learn from customers helps us personalize and continually improve your Amazon experience. Here are the types of information we gather.

- **Information You Give Us:** We receive and store any information you enter on our Web site or give

# Our counterproposal: A design pattern for personalized websites that collect user data

*Design patterns constitute descriptions of best practices based on research and application experience. They give designers guidelines for the efficient and effective design of user interfaces.*

Every personalized site that collects user data should include the following elements on every page:

## **1. Traditional Link to Global Communication of Privacy Practices**

- Still necessary for legal reasons

## **2. Contextualized Local Communication of Privacy Practices and Personalization Benefits**

- Break long privacy policies into small, understandable pieces
- Relate them specifically to the current context
- Explain privacy practices as well as personalization benefits
- Preferably for each entry field for personal information

# An example webpage based on the proposed design pattern

Traditional link to a privacy statement

The screenshot shows a webpage layout with a top navigation bar containing category links: BOOKS, ELECTRONICS & PHOTO, MUSIC, DVD, VIDEO, SOFTWARE, and PC & VIDEO GAMES. On the right, there are links for VIEW BASKET, WISH LIST, YOUR ACCOUNT, and HELP. The main content area is divided into a left sidebar and a right main section. The sidebar contains sections: YOUR SELECTION (with a choice of 1,000,000 books), MORE TO EXPLORE (with links for Privacy, Personalization, Our Security Guarantee, Associates, Amazon Web Services, Payments, Sell now!, Gift services, Review raffle, and Amazon.de mobile). The main section features a personalized message and three consent questions with explanatory callouts. The first question is about cookies, with a callout explaining personalization benefits. The second is about favorite authors, with a callout explaining privacy practices. The third is about text on book covers, with a callout explaining privacy practices.

VIEW BASKET | WISH LIST | YOUR ACCOUNT | HELP

BOOKS ELECTRONICS & PHOTO MUSIC DVD VIDEO SOFTWARE PC & VIDEO GAMES

You currently have a choice of 1,000,000 books. We can better tailor the selection to your needs if we receive additional information.

**We would like to use cookies to record the order in which you visit our web pages (clickstream). Do you agree with this?**

Yes  
 No  
 No answer

**What are your benefits?**  
We would like to give you an even more satisfying experience, which is optimized for your screen and your browser. If you choose not to accept cookies, you will not be able to use such important options as 1-Click@ shopping and "New for You".

**What happens with your data?**  
Your personal data will remain anonymous. If you agree to accept cookies, we will be able to improve our site and to better present our products and services. We are also interested in recurring navigation patterns. You can always change your decision to accept cookies.

**Please enter your favorite author or book title:**

No answer

**What are your benefits?**  
When selecting books for you we take your favorite authors into account as well as other suitable books.

**What happens with your data?**  
These data will be stored under a pseudonym, and then aggregated and analyzed. The contents of our web pages will be adapted to you. Your data will not be shared with others.

**When buying a book, do you pay more attention to the text on the back cover or to the name of the author?**

Text on the back cover (short description)  
 Author  
 No answer

**YOUR SELECTION**  
You currently have a choice of **1,000,000** books.

**MORE TO EXPLORE**

**Privacy**  
We protect your personal data

**Personalization**  
We want to give you a personal service

**Our Security Guarantee**  
Your security ranks first

**Associates**  
Earn money from your web site

**Amazon Web Services**  
Build websites, applications or tools using Amazon Web Services.

**Payments**  
Payment options with Amazon

**Sell now!**  
Offer items for sale. Free, fast and easy!

**Gift services**  
Have even more fun making presents

**Review raffle**  
The first reviewer may be a winner!

**Amazon.de mobile**  
Shop while on the go with your cell phone

Explanation of personalization benefits

Explanation of privacy practices

# Controlled behavioral experiment to validate the merits of our design proposal

Why a controlled experiment?

- In the area of privacy, stated attitudes (e.g., stated privacy concern) and stated behavioral intent do not predict actual privacy behaviors very well.
- Our study therefore focused on users' actual privacy-related behaviors, but also polled participants' privacy-related attitudes.

# Experimental Procedures

## 1. Instructions to subjects

- “Testing experimental new version of a well-known online bookstore”
- Answering questions to allegedly obtain better book recommendations
- No obligation to answer any question, but helpful for better recommendation.
- Data that subjects entered would purportedly be available to company
- Possibility to buy one of the recommended books with a 70% discount.

## 2. Answering interest questions in order to “filter the selection set” (*pseudonymous*)

- 32 questions with 86/64 answer options become presented (some free-text)
- Most questions were about users’ interests (a very few were fairly sensitive)
- All “make sense” in the context of filtering books that are interesting for readers
- Answering questions decreased the “selection counter” in a systematic manner
- After nine pages of data entry, users are encouraged to review their entries.

# Experimental Procedures (cont' d)

## 3. “Recommendation” of 50 books (pseudonymous)

- 50 predetermined books are displayed (popular fiction, politics, travel, sex and health advisories)
- Selected based on their low price and their presumable attractiveness for students
- Prices of all books are visibly marked down by 70%, resulting in out-of-pocket expenses between €2 and €12 for a book purchase.
- Extensive information on every book available

## 4. Purchase of one book (identified)

- Subjects may purchase one book if they wish
- Those who do are asked for their names, shipping and payment data (bank account or credit card charge).

## 5. Completing questionnaires

## 6. Verification of name, address and bank data (if book purchased)



# Baseline version with no contextual explanations

Links to original privacy statement (split into privacy, security and personalization notice)

“Selection counter”

The screenshot shows the Amazon.de website interface. At the top left is the Amazon logo. To its right are navigation links: 'WUNSCHZETTEL', 'MEIN KONTO', and 'HILFE'. Below these is a horizontal menu with categories: 'BÜCHER', 'ELEKTRONIK & FOTO', 'KÜCHE & HAUSHALT', 'MUSIK', 'DVD', 'VIDEO', 'SOFTWARE', and 'COMPUTER & VIDEOSPIELE'. The main content area is divided into several sections. On the left, there is a sidebar with a blue header 'Ihre Auswahl' and a text box stating 'Sie haben momentan eine Auswahl von 1000000 Büchern.' Below this are sections for 'ENTDECKEN', 'Datenschutz', 'Personalisierung', 'Sicherheitsgarantie', 'Partnerprogramm', 'Amazon Web Services', and 'Kaufen & Zahlen'. The main content area features a text block: 'Sie haben zur Zeit eine Auswahl von 1000000 Büchern. Mit weiteren Informationen können wir die Auswahl der Bücher noch besser an Ihre Bedürfnisse anpassen.' This is followed by three survey questions with radio button options. The first question asks about cookies, the second asks for a favorite author or title, and the third asks what users pay attention to when buying books. At the bottom right of the survey are 'zurück' and 'weiter' buttons.

amazon.de

WUNSCHZETTEL | MEIN KONTO | HILFE

BÜCHER | ELEKTRONIK & FOTO | KÜCHE & HAUSHALT | MUSIK | DVD | VIDEO | SOFTWARE | COMPUTER & VIDEOSPIELE

**Ihre Auswahl**

Sie haben momentan eine Auswahl von **1000000** Büchern.

**ENTDECKEN**

**Datenschutz**  
Ihre persönlichen Daten sind bei uns geschützt

**Personalisierung**  
Wir möchten Ihnen einen persönlichen Service bieten

**Sicherheitsgarantie**  
Ihre Sicherheit steht bei uns an erster Stelle

**Partnerprogramm**  
Geld verdienen mit Ihrer Website

**Amazon Web Services**  
Erstellen Sie Websites, Applikationen oder Tools mit Amazon Web Services

**Kaufen & Zahlen**  
Zahlungsmöglichkeiten bei Amazon.de

Sie haben zur Zeit eine Auswahl von 1000000 Büchern. Mit weiteren Informationen können wir die Auswahl der Bücher noch besser an Ihre Bedürfnisse anpassen.

■ **Wir möchten gerne Cookies zur Aufzeichnung der Reihenfolge Ihres Aufrufs unserer Internetseiten (Clickstream) speichern. Sind Sie damit einverstanden?**

ja  
 nein  
 keine Angabe

■ **Geben Sie bitte Ihren Lieblingsautor oder -buchtitel ein:**

keine Angabe

■ **Worauf achten Sie beim Buchkauf mehr: Angaben auf dem Buchrücken oder Name des Autors?**

Angaben auf dem Buchrücken (Kurzbeschreibung)  
 Autor  
 keine Angabe

zurück weiter

# Treatment version with contextualized explanations

Links to original privacy statement (split into privacy, security and personalization notice)

“Selection counter”

The screenshot shows the Amazon.de website interface. At the top, there is a navigation bar with the Amazon logo, a shopping cart icon, and links for 'WUNSCHZETTEL', 'MEIN KONTO', and 'HILFE'. Below the navigation bar, there is a category menu with buttons for 'BÜCHER', 'ELEKTRONIK & FOTO', 'KÜCHE & HAUSHALT', 'MUSIK', 'DVD', 'VIDEO', 'SOFTWARE', and 'COMPUTER & VIDEOSPIELE'. The main content area is divided into two columns. The left column contains a sidebar with sections: 'Ihre Auswahl' (highlighted), 'ENTDECKEN', 'Datenschutz', 'Personalisierung', 'Sicherheitsgarantie', 'Partnerprogramm', 'Amazon Web Services', 'Kaufen & Zahlen', 'Jetzt verkaufen!', and 'Geschenkservice'. The right column contains a privacy notice with several sections: 'Wir möchten gerne Cookies zur Aufzeichnung der Reihenfolge Ihres Aufrufs unserer Internetseiten (Clickstream) speichern. Sind Sie damit einverstanden?', 'Welchen Nutzen haben Sie davon?', 'Was geschieht mit den Daten?', 'Geben Sie bitte Ihren Lieblingsautor oder -buchtitel ein:', 'Welchen Nutzen haben Sie davon?', 'Was geschieht mit den Daten?', and 'Worauf achten Sie beim Buchkauf mehr: Angaben auf dem Buchrücken oder Name des Autors?'. Three red callout boxes provide contextualized explanations: one pointing to the 'Ihre Auswahl' section, one pointing to the 'Wir möchten gerne Cookies...' section, and one pointing to the 'Geben Sie bitte Ihren Lieblingsautor...' section.

**Ihre Auswahl**  
Sie haben momentan eine Auswahl von **1000000** Büchern.

**ENTDECKEN**

**Datenschutz**  
Ihre persönlichen Daten sind bei uns geschützt

**Personalisierung**  
Wir möchten Ihnen einen persönlichen Service bieten

**Sicherheitsgarantie**  
Ihre Sicherheit steht bei uns an erster Stelle

**Partnerprogramm**  
Geld verdienen mit Ihrer Website

**Amazon Web Services**  
Erstellen Sie Websites, Applikationen oder Tools mit Amazon Web Services

**Kaufen & Zahlen**  
Zahlungsmöglichkeiten bei Amazon.de

**Jetzt verkaufen!**  
Kostenlos Artikel zum Verkauf anbieten. Schnell und einfach!

**Geschenkservice**  
So macht Schenken noch mehr Spaß

**Rezensions-Gewinnspiel**  
Wer zuerst schreibt, gewinnt!

**Wir möchten gerne Cookies zur Aufzeichnung der Reihenfolge Ihres Aufrufs unserer Internetseiten (Clickstream) speichern. Sind Sie damit einverstanden?**

ja  
 nein  
 keine Angabe

**Welchen Nutzen haben Sie davon?**  
Wir wollen Ihnen in Zukunft einen noch besseren Onlineauftritt bieten, der optimiert ist für Ihren Bildschirm und für Ihren Browser. Wenn Sie keine Cookies verwenden, sind Sie nicht in der Lage, so wichtige Features wie 1-Click®-Kaufen und "Neu für Sie" zu nutzen.

**Was geschieht mit den Daten?**  
Ihre persönlichen Informationen verbleiben anonym. Ihr Einverständnis, Cookies zu akzeptieren, ermöglicht uns, unsere Site zu verbessern und Produkte und Dienstleistungen besser zu präsentieren. Weiterhin sind wir daran interessiert, Ihr wiederholtes Navigationsverhalten zu analysieren. Sie können Ihre Entscheidung, Cookies zu akzeptieren, jederzeit revidieren.

**Geben Sie bitte Ihren Lieblingsautor oder -buchtitel ein:**

keine Angabe

**Welchen Nutzen haben Sie davon?**  
Wir berücksichtigen bei der Auswahl Ihren Lieblingsautoren und weitere, passende Bücher.

**Was geschieht mit den Daten?**  
Diese Information wird unter Ihrem Pseudonym gespeichert, aggregiert und analysiert. Die angezeigten Inhalte werden für Sie angepasst. Eine Weitergabe an Dritte findet nicht statt.

**Worauf achten Sie beim Buchkauf mehr: Angaben auf dem Buchrücken oder Name des Autors?**

Angaben auf dem Buchrücken (Kurzbeschreibung)  
 Autor

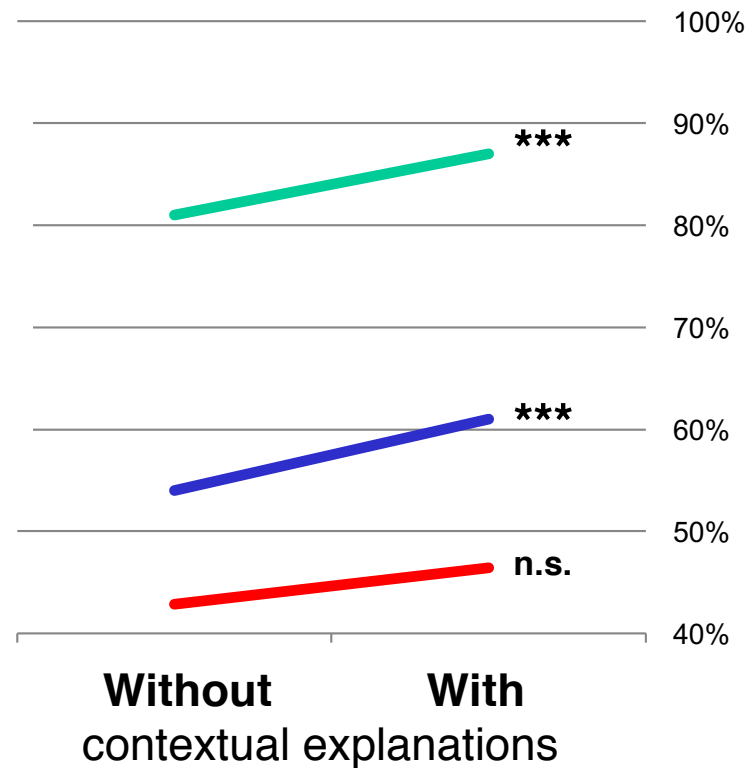
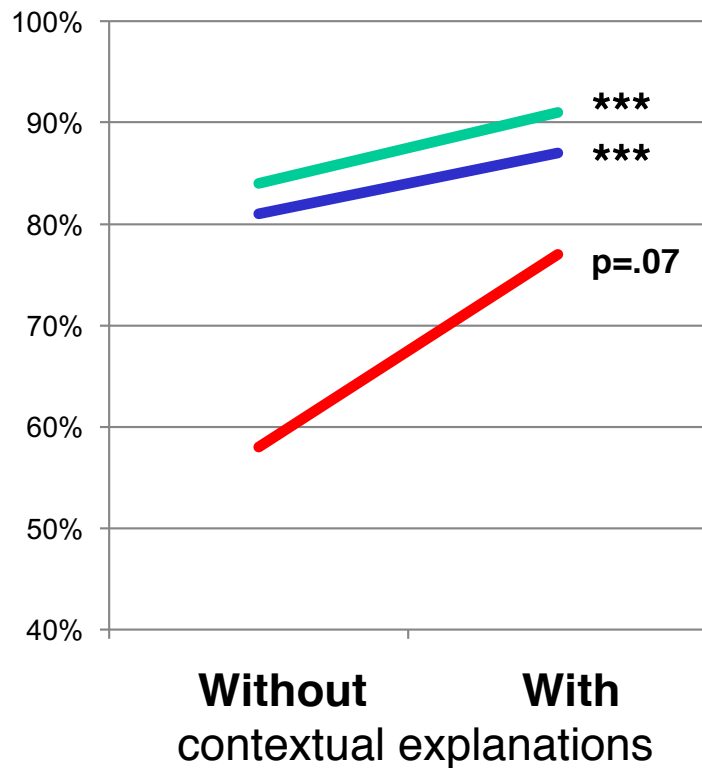
Contextualized short description of relevant personalization benefits (derived from original privacy statement)

Contextualized short description of relevant privacy practices (taken from original privacy statement)

# Results

amazon.de

B4U.de



Questions answered  
Answers given  
Books bought

Search  All Products

**BOOK CHOICES**

You currently have a choice of **1,000,000** books.

**BROWSE**

**Data Protection**

Your personal data is protected by us

**Personalization**

We want to offer you a personalized service

**Security**

Your security is our top priority

**Affiliation**

Earn money with your website

**Buy & Figures**

Payment by Amazon.com

**Gift Services**

This makes gifts even more fun!

**Reviews**

Write reviews to win exciting prizes!

Amazon.com on



You can change your privacy preferences anytime in the privacy control panel on the right-hand side.

**1.) Please enter a login name (your name or a pseudonym)**

- Login name:
- No answer

**2.) How old are you?**

- 18-20
- 21-25
- 26-30
- 31-35
- 36-40
- 41-50
- 51-60
- >60
- No answer

**3.) What is your occupation / degree program?**

- Occupation / degree program:
- No answer

**4.) What are your hobbies? (Check all that apply.)**

- Sport
- Music
- Model making
- Computers

**PRIVACY CONTROL**

Your privacy preferences determine how we personalize book selections for you

**1. Your Privacy Preferences**

Check any item that you allow:

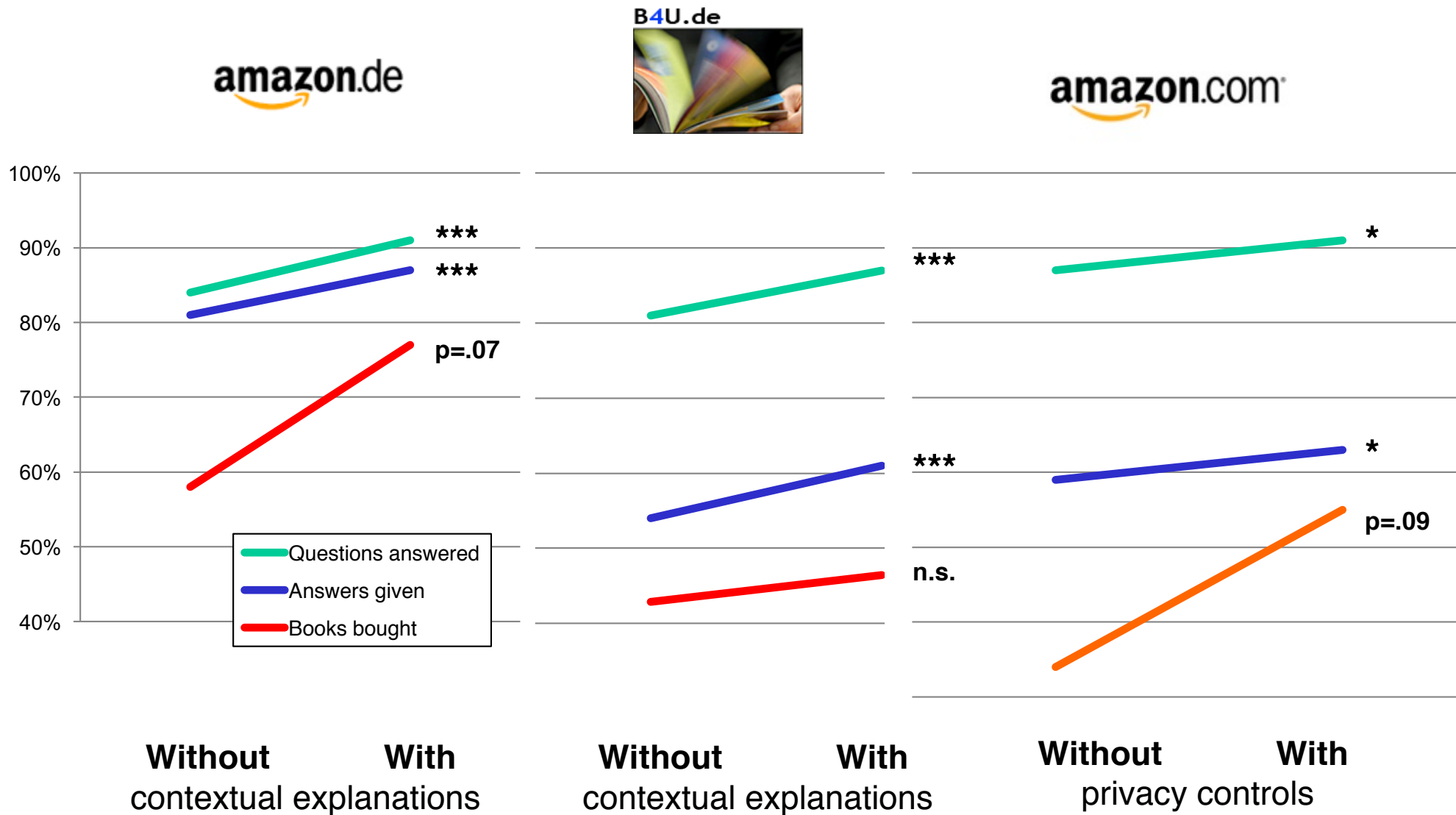
- Use your data for other purposes
- Keep your usage data longer
- Track what you do on our site
- Use your location data
- Merge your usage and identity data

**2. How do we personalize then?**

Legend:  use,  not use

- Clustering
- Rule-based reasoning I
- Rule-based reasoning II
- Incremental learning
- One-time learning I
- One-time learning II

# Different privacy interventions, similar results



# Will any permanently visible privacy-friendly intervention on this website achieve the same effect?

WELCOME YOUR STORE **BOOKS** ELECTRONICS DVD HEALTH & BEAUTY KITCHEN & HOUSEWARES TOOLS & HARDWARE SEE MORE STORES

WISH LIST | MY ACCOUNT | HELP

International Kids World Vouchers Low Price Now Selling!

Search  All Products

### BOOK CHOICES

You currently have a choice of **1,000,000** books.

### BROWSE

**Data Protection**  
Your personal data is protected by us

**Personalization**  
We want to offer you a personalized service

**Security**  
Your security is our top priority


**Affiliation**  
Earn money with your website

**Buy & Figures**  
Payment by Amazon.com

**Gift Services**  
This makes gifts even more fun!

**Reviews**  
Write reviews to win exciting prizes!

Amazon.com on



You can change your privacy preferences anytime in the privacy control panel on the right-hand side.

### 1.) Please enter a login name (your name or a pseudonym)

Login name:

No answer

### 2.) How old are you?

18-20

21-25

26-30

31-35

36-40

41-50

51-60

>60

No answer

### 3.) What is your occupation / degree program?

Occupation / degree program:

No answer

### 4.) What are your hobbies? (Check all that apply.)

Sport

Music

Model making

Computers

### PRIVACY CONTROL

Your privacy preferences determine how we personalize book selections for you

#### 1. Your Privacy Preferences

Check any item that you allow:

Use your data for other purposes

Keep your usage data longer

Track what you do on our site

Use your location data

Merge your usage and identity data

#### 2. How do we personalize then?

Legend:  use,  not use

Clustering

Rule-based reasoning I

Rule-based reasoning II

Incremental learning

One-time learning I

One-time learning II

# Will any permanently visible privacy-friendly intervention on this website achieve the same effect?

amazon.de

WUNSCHZETTEL | MEIN KONTO | HILFE

BÜCHER | ELEKTRONIK & FOTO | KÜCHE & HAUSHALT | MUSIK | DVD | VIDEO | SOFTWARE | COMPUTER & VIDEOSPIELE

## Ihre Auswahl

Sie haben momentan eine Auswahl von **1000000** Büchern.

## ENTDECKEN

### Datenschutz

Ihre persönlichen Daten sind bei uns geschützt

### Personalisierung

Wir möchten Ihnen einen persönlichen Service bieten

### Sicherheitsgarantie

Ihre Sicherheit steht bei uns an erster Stelle

### Partnerprogramm

Geld verdienen mit Ihrer Website

### Amazon Web Services

Erstellen Sie Websites, Applikationen oder Tools mit Amazon Web Services

### Kaufen & Zahlen

Zahlungsmöglichkeiten bei Amazon.de

### Jetzt verkaufen!

Kostenlos Artikel zum Verkauf anbieten. Schnell und einfach!

### Geschenkservice

So macht Schenken noch mehr Spaß

### Rezensions-Gewinnspiel

Wer zuerst schreibt, gewinnt!

Sie haben zur Zeit eine Auswahl von 1000000 Büchern. Mit weiteren Informationen können wir die Auswahl der Bücher noch besser an Ihre Bedürfnisse anpassen.

## Wir möchten gerne Cookies zur Aufzeichnung der Reihenfolge Ihres Aufrufs unserer Internetseiten (Clickstream) speichern. Sind Sie damit einverstanden?

- ja  
 nein  
 keine Angabe

### Welchen Nutzen haben Sie davon?

Wir wollen Ihnen in Zukunft einen noch besseren Onlineauftritt bieten, der optimiert ist für Ihren Bildschirm und für Ihren Browser. Wenn Sie keine Cookies verwenden, sind Sie nicht in der Lage, so wichtige Features wie 1-Click@-Kaufen und "Neu für Sie" zu nutzen.

### Was geschieht mit den Daten?

Ihre persönlichen Informationen verbleiben anonym. Ihr Einverständnis, Cookies zu akzeptieren, ermöglicht uns, unsere Site zu verbessern und Produkte und Dienstleistungen besser zu präsentieren. Weiterhin sind wir daran interessiert, Ihr wiederholtes Navigationsverhalten zu analysieren. Sie können Ihre Entscheidung, Cookies zu akzeptieren, jederzeit revidieren.

## Geben Sie bitte Ihren Lieblingsautor oder -buchtitel ein:

- keine Angabe

### Welchen Nutzen haben Sie davon?

Wir berücksichtigen bei der Auswahl Ihren Lieblingsautoren und weitere, passende Bücher.

### Was geschieht mit den Daten?

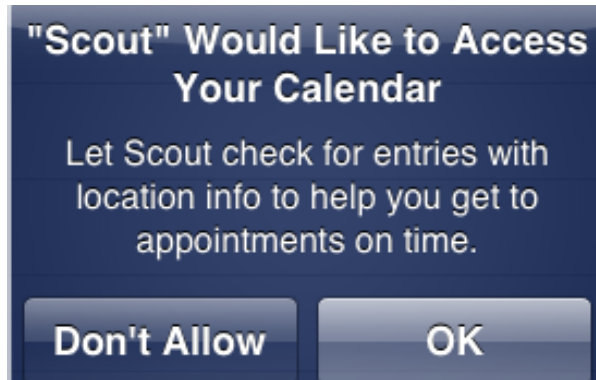
Diese Information wird unter Ihrem Pseudonym gespeichert, aggregiert und analysiert. Die angezeigten Inhalte werden für Sie angepasst. Eine Weitergabe an Dritte findet nicht statt.

## Worauf achten Sie beim Buchkauf mehr: Angaben auf dem Buchrücken oder Name des Autors?

- Angaben auf dem Buchrücken (Kurzbeschreibung)  
 Autor



# Was it the content that triggered subjects' behaviors, or superficial "privacy cues"?



Tan et al. (CHI 2014):  
Participants granted permissions 12% more often when purpose was displayed, *independent of its content.*

## Request

“Excuse me, I have 5 pages.  
May I use the Xerox machine?”

+ “...because I am in a hurry”

+ “...because I need to make copies”

(Langer et al., 1972)

## Agree rate

60%

94%

93%





# Study with different fictitious personalization providers

## Instructions to subjects:

Download and test an Android app that analyzes what users do on their smartphones and gives personalized recommendations.

## Four conditions:

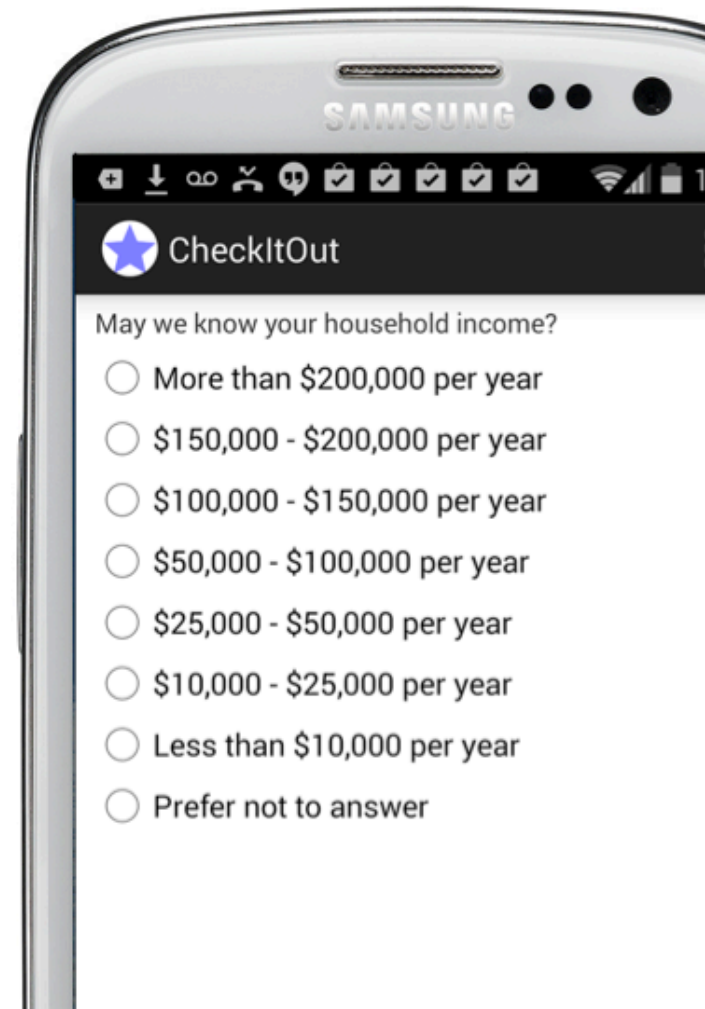
- **Client-side Personalization:** “all data will be kept on your smartphone, and not be shared with anyone else.”
- **3 “server-side” conditions:** “all data will be sent to Amazon / American Personalization / the Cloud, and not be shared with anyone else.”

Extensive instruction and comprehension tests regarding the personalization services and the location of data storage.

# 12 demographics data and 12 context data permissions requested

Seq. #	Item	Disclosure
<i>Demographics data</i>		
1	Phone data plan	94.9%
3	Household composition	87.4%
5	Field of work	91.5%
7	Housing situation	85.9%
9	Relationship status	93.6%
11	Children	90.0%
13	Household income	80.8%
15	Household savings	66.7%
17	Household debt	68.5%
19	Race	93.1%
21	Political preferences	82.8%
23	Workout routine	85.1%

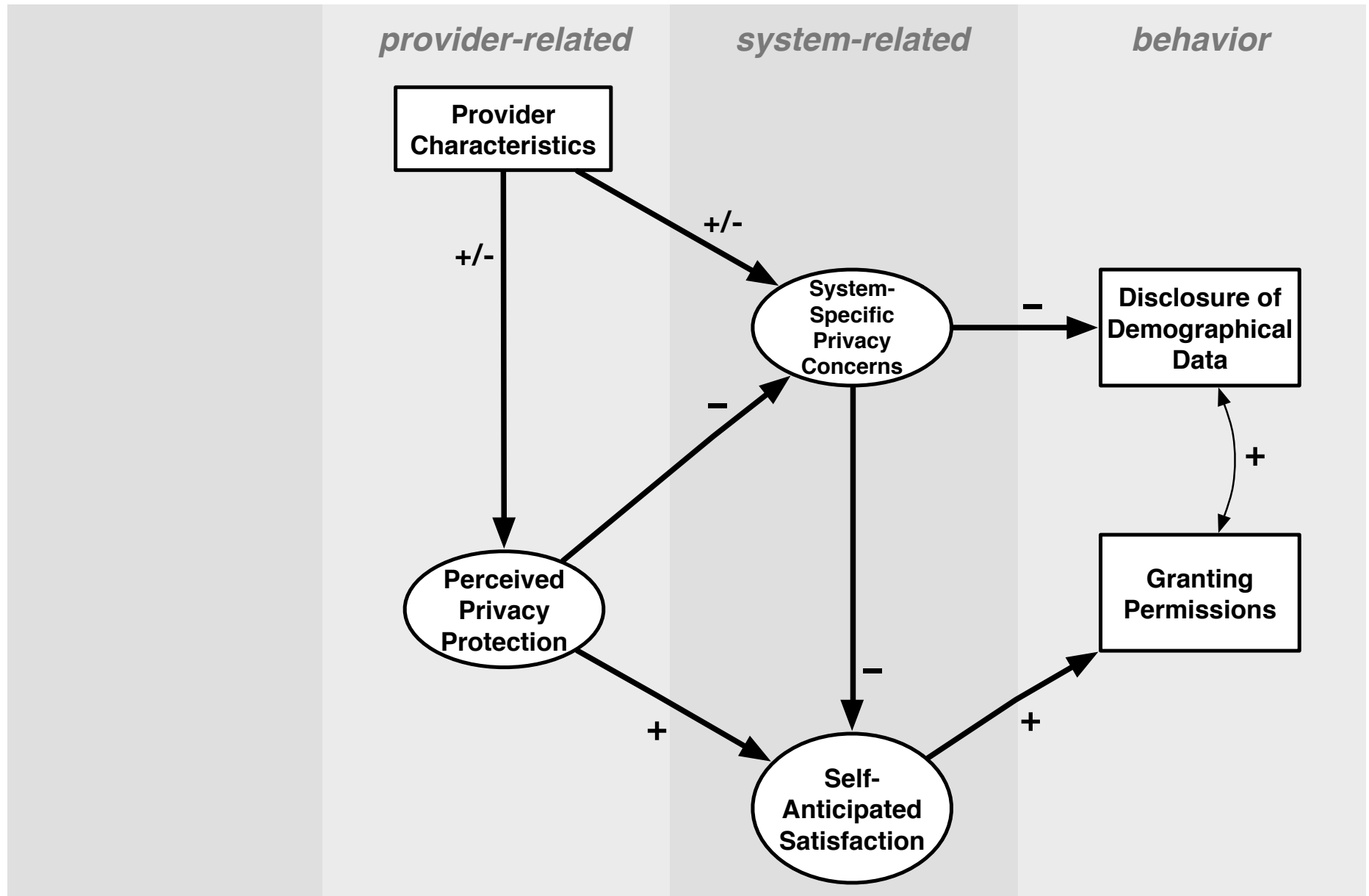
Seq. #	Item	Disclosure
<i>Context data</i>		
2	Recommendation browsing	79.5%
4	Location	50.5%
6	App usage	72.8%
8	App usage location	56.2%
10	App usage time	70.5%
12	Web browsing	56.9%
14	Calendar data	49.7%
16	E-mail messages	16.4%
18	Phone model	83.3%
20	Accelerometer data	58.2%
22	Microphone	17.9%
24	Credit card purchases	0.0%



# Main attitudinal constructs (items based on prior studies)

Subjective construct	Items	Factor loading
<b>Self-anticipated satisfaction</b> with Check-it-Out (SAT)  Alpha: 0.92 AVE: 0.751	Check-it-Out is useful	0.898
	Using Check-it-Out makes me happy	0.885
	Using Check-it-Out is annoying	-0.703
	Overall, I am satisfied with Check-it-Out	0.925
	I would recommend Check-it-Out to others	0.903
<b>System-specific privacy concern</b> (SPC)  Alpha: 0.76 AVE: 0.660	Check-it-Out has too much information about me	0.756
	I find the questions intrusive that Check-it-Out asks me	0.847
<b>Perceived privacy protection</b> (PPP)  Alpha: 0.95 AVE: 0.887	I feel my personal data is safe [on my smartphone / at American Personalization / at Amazon / in the Cloud].	0.917
	I feel [my smartphone / American Personalization / Amazon / the Cloud] will not share my personal data with anyone.	0.954
	I feel my interests will be protected when my personal data is [on my smartphone / with American Personalization / with Amazon / in the Cloud].	0.953

# Causal pathways on behavior cancel each other out



# Elaboration Likelihood Model (Petty & Cacioppo)

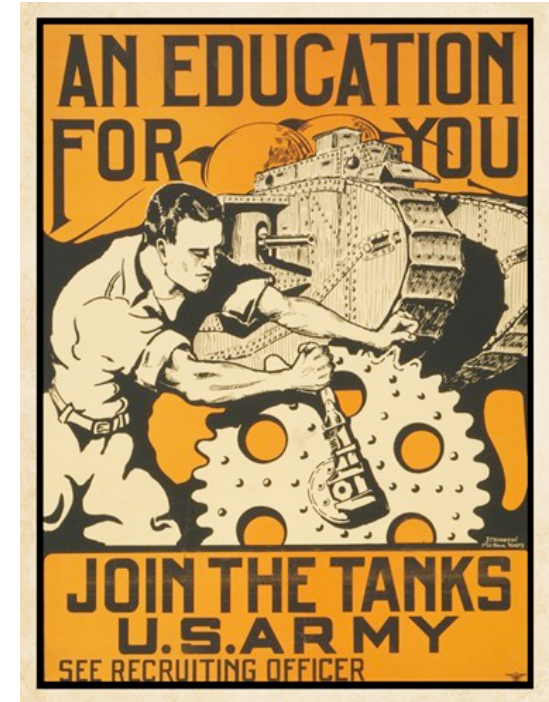
## How are persuasive messages processed?

### Central route

- Triggers higher levels of thought (“elaboration”)
- Taken under conditions of high involvement (motivation, knowledge, ability, time)

### Peripheral route

- Decisions made “thoughtlessly”, based on “superficial” cues (source attractiveness, colors, music, humor)
- Taken under conditions of low involvement



# Privacy-related personality traits may influence processing route

## General privacy concern (which affects motivation)

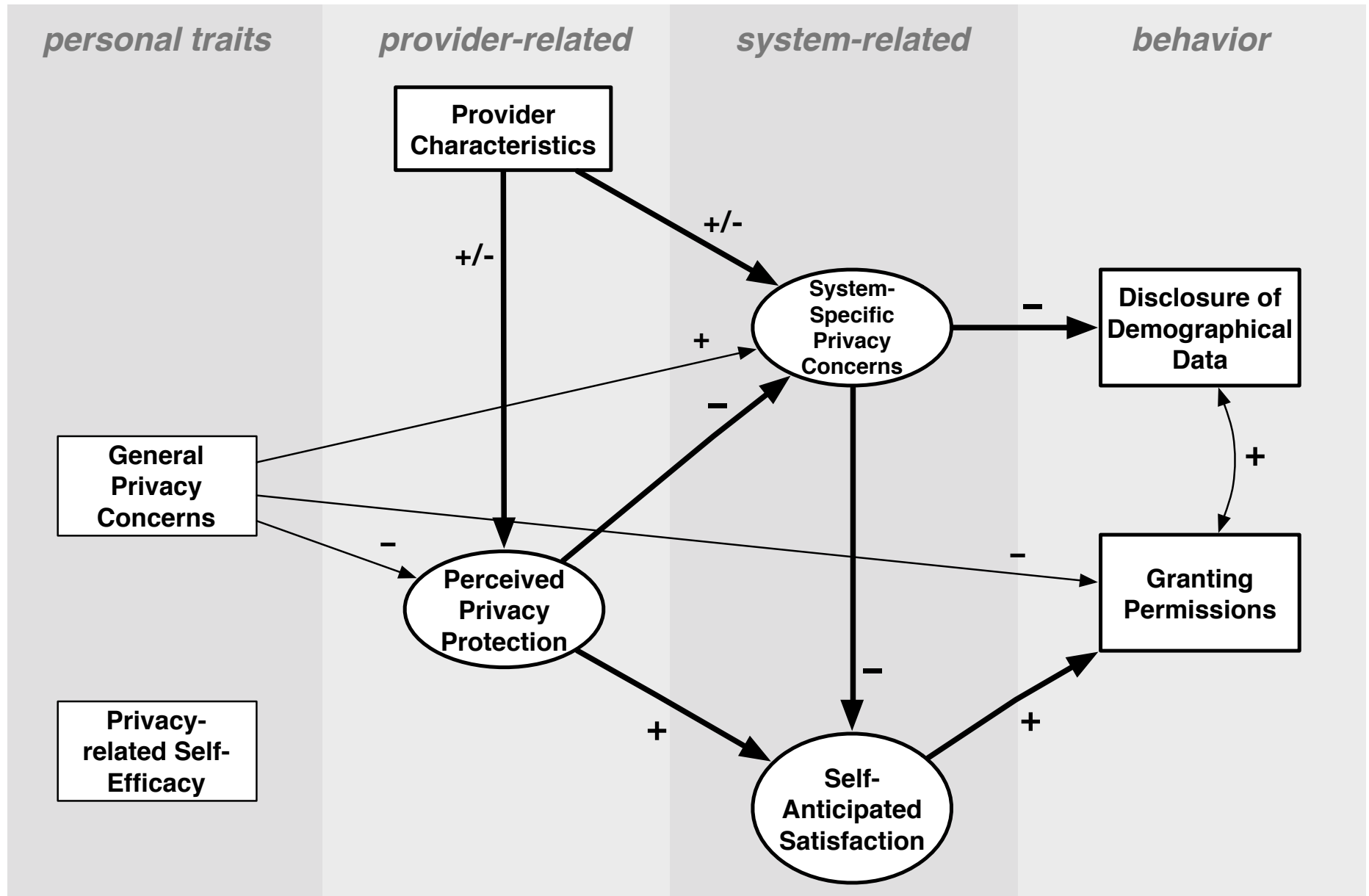
- **high** privacy concern: central route
- **low** privacy concern: peripheral route

## Privacy-related self-efficacy (which affects ability)

- **high** self-efficacy: central route
- **low** self-efficacy: peripheral route

☞ Study subjects with high/low general privacy concern and high/low privacy-related self-efficacy

# Personality traits have some direct effects



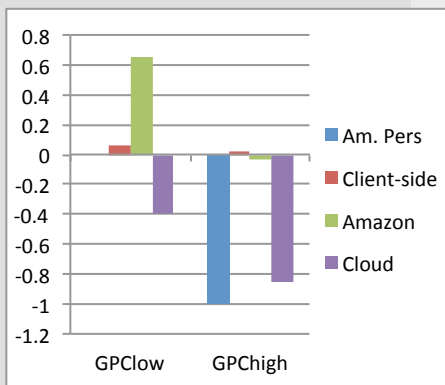
# Central route

*personal traits*

*provider-related*

*system-related*

*behavior*



**General Privacy Concerns**

**High**

**Privacy-related Self-Efficacy**

**High**

**Provider Characteristics**

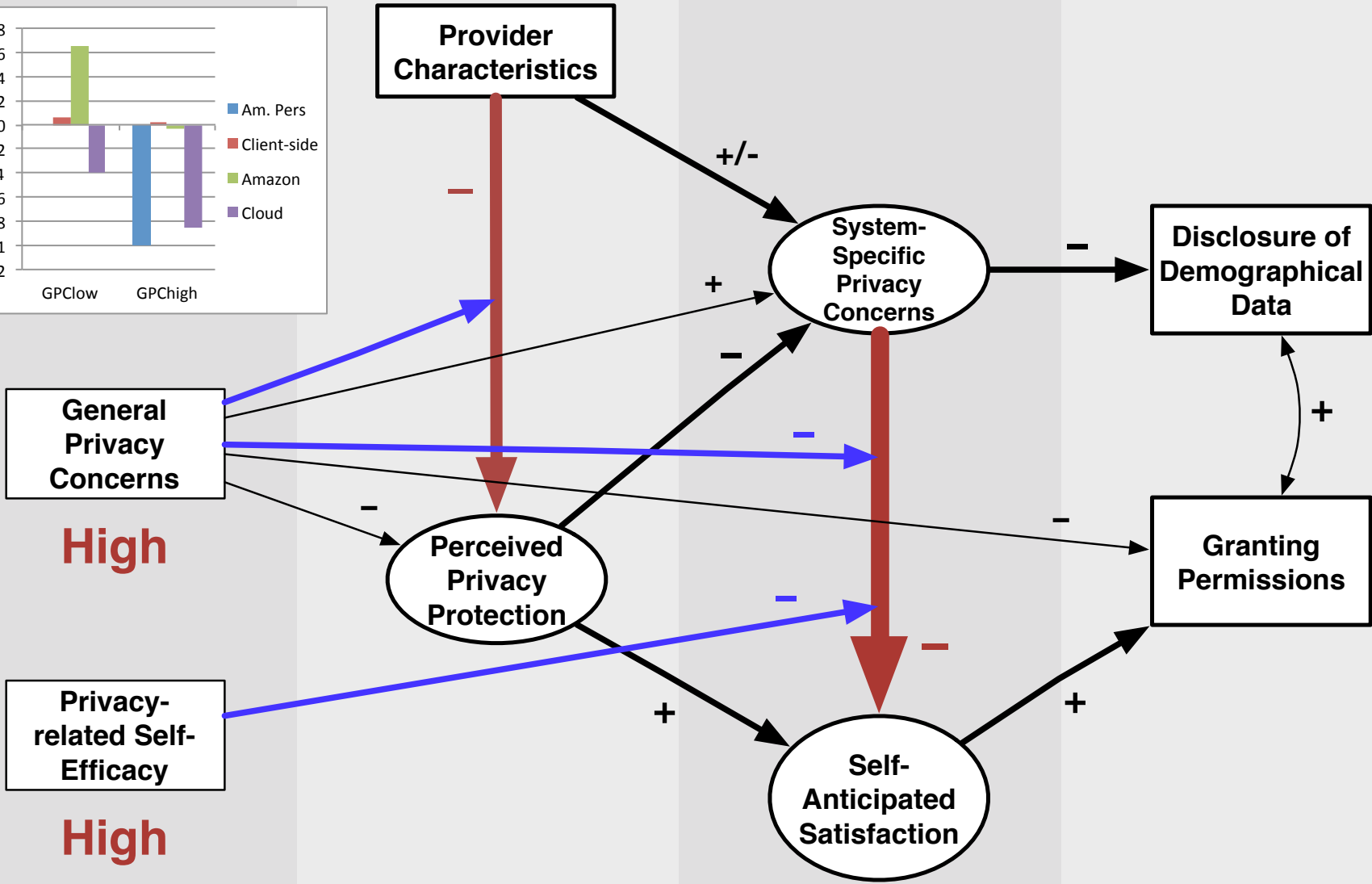
**System-Specific Privacy Concerns**

**Perceived Privacy Protection**

**Self-Anticipated Satisfaction**

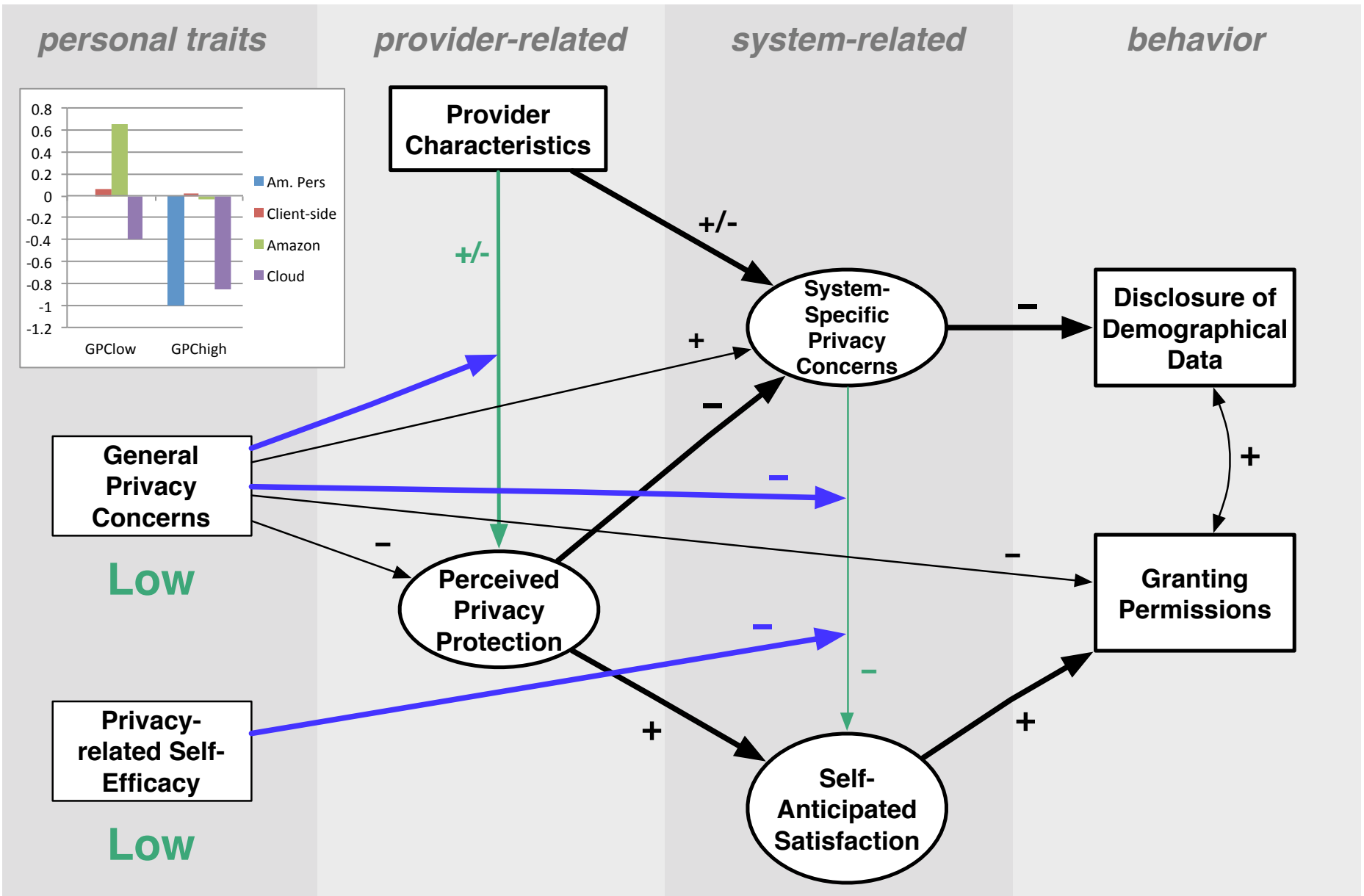
**Disclosure of Demographical Data**

**Granting Permissions**

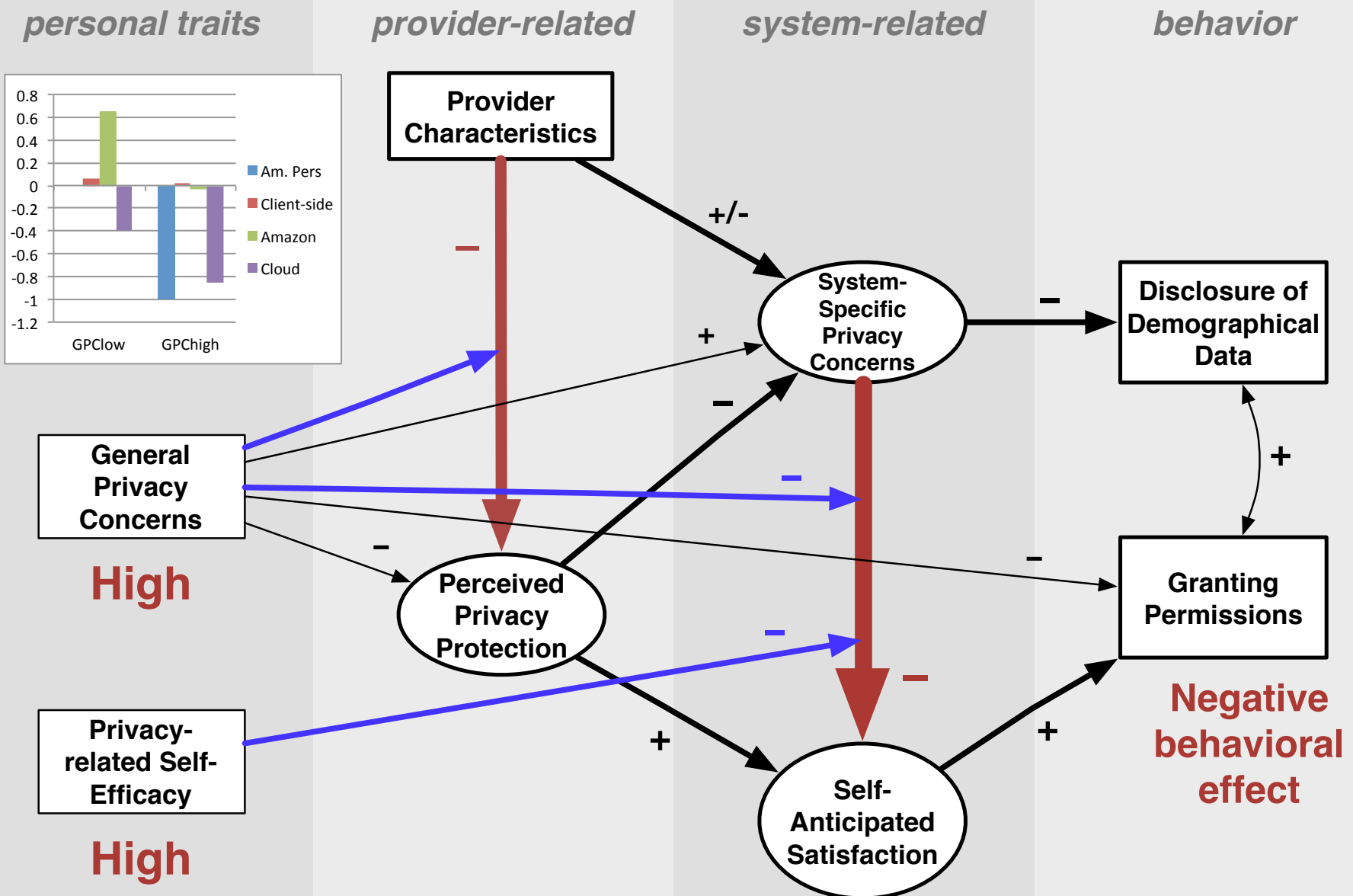




# Peripheral route



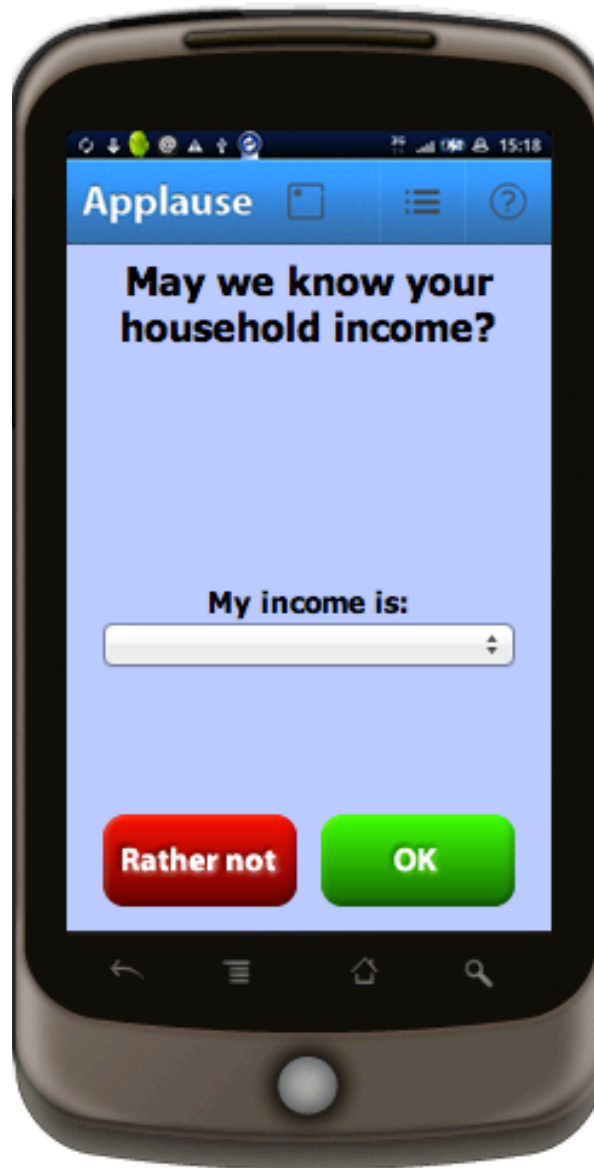
# Central route



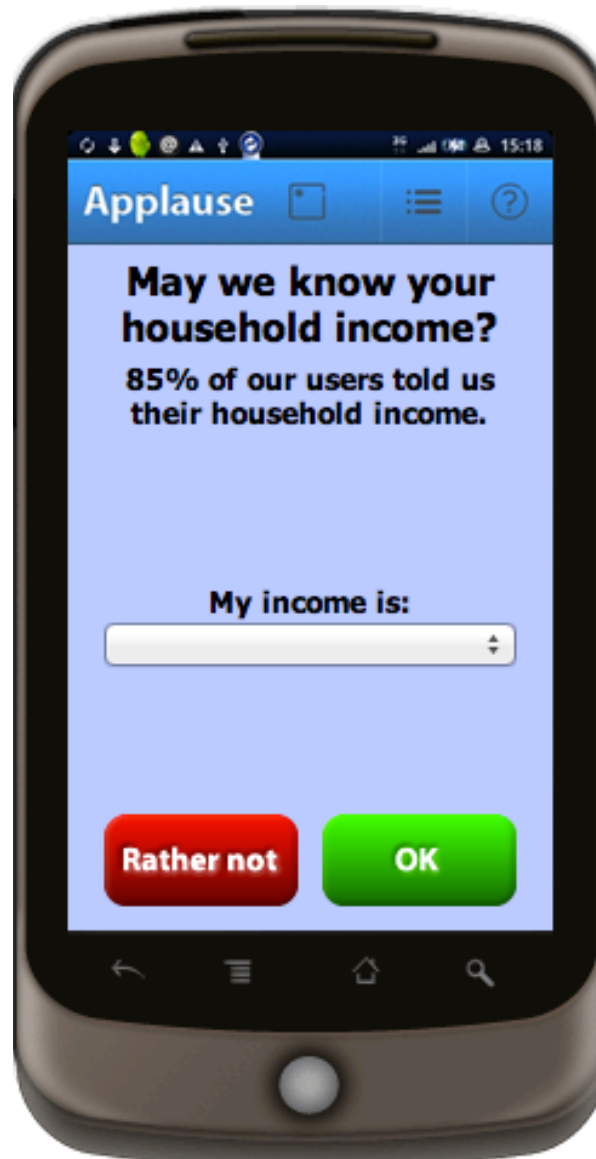


# Studying the effect of justifications on data disclosure

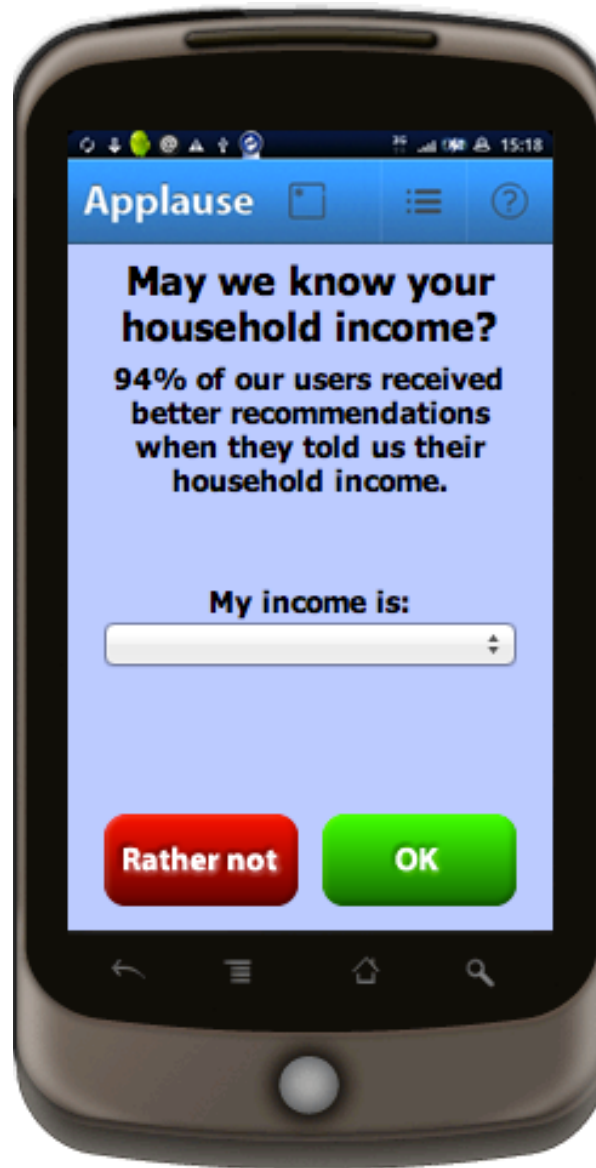
# No justification



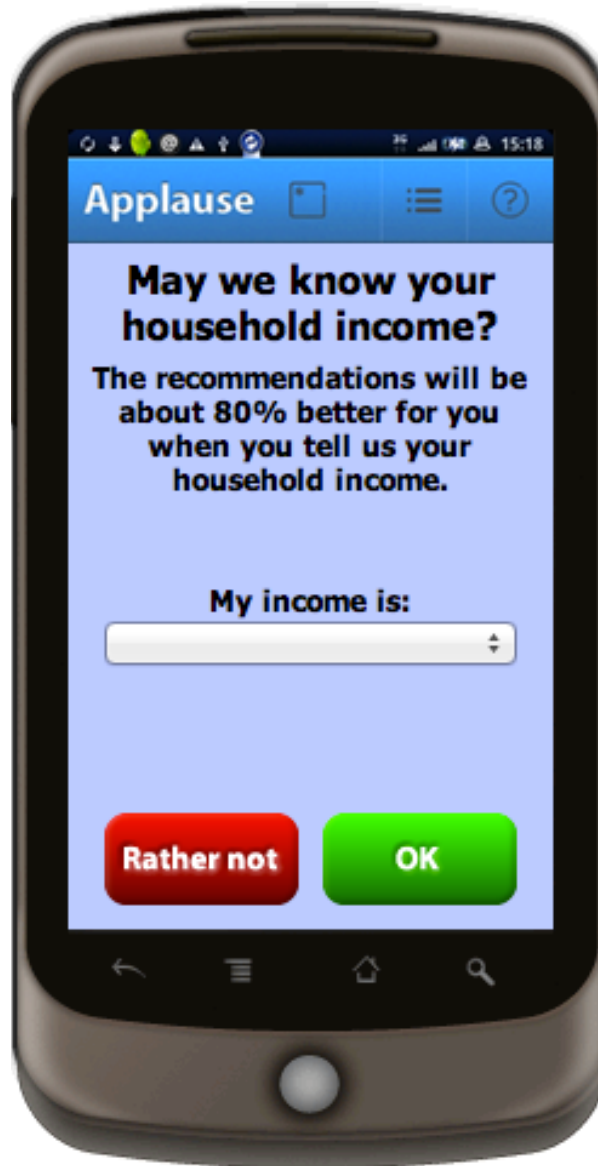
# Disclosure request – Social cues



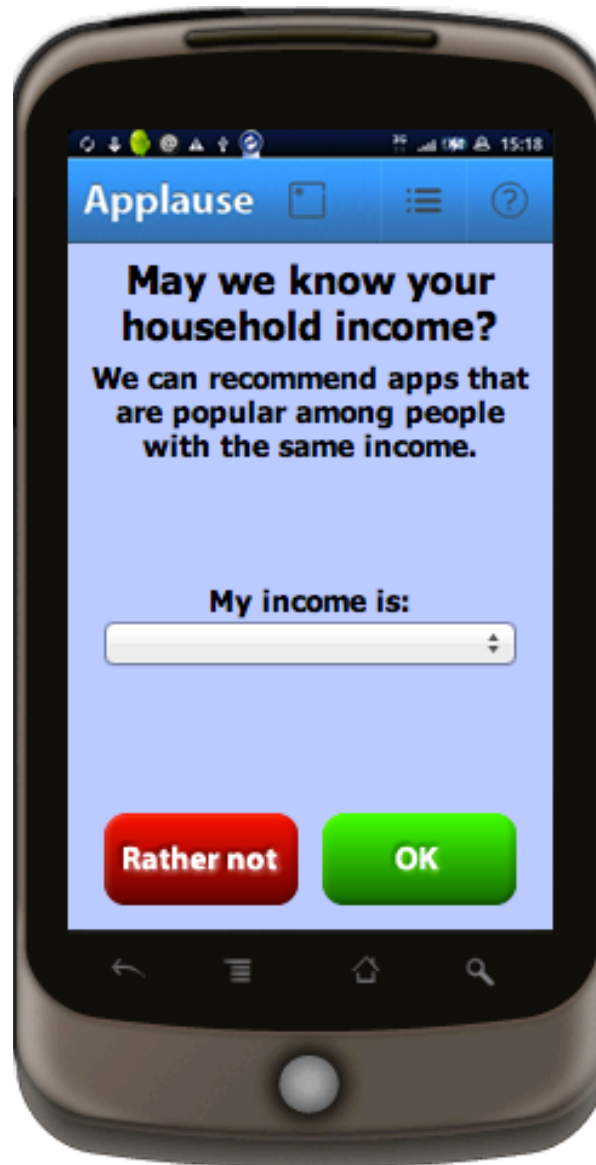
# Disclosure request – Past benefit for others



# Disclosure request – Projected benefit for me



# Disclosure request – Explanation of use





# Adjusting privacy-related system behavior once user has been classified

<b>Best strategies for MALES with LOW disclosure tendency</b>	
<i>Goal</i>	<i>Best strategy</i>
High demographics disclosure	Demographics first, 'explanation' justification.
High context data disclosure	Context first, no justification.
High overall disclosure	Context first, 'useful for you' justification.
High satisfaction	Context first, 'useful for others' justification or demographics first, 'useful for you' justification.
All of the above	Demographics first, no justification.
<b>Best strategies for FEMALES with LOW disclosure tendency</b>	
<i>Goal</i>	<i>Best strategy</i>
High demographics disclosure	Demographics first, 'number of others' justification.
High context data disclosure	Context first, 'useful for you' justification.
High overall disclosure	Demographics first, 'explanation' justification.
High satisfaction	Context first, 'useful for you' justification.
All of the above	Demographics first, 'explanation' justification.

# Different autofill paradigms have different effects on disclosure

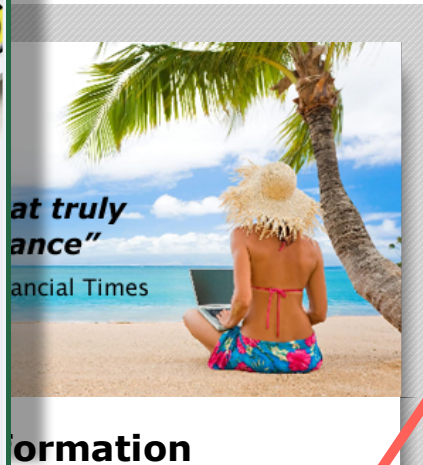
**BlogHeroes** leader board log in  
conquer the web with your blogging-guild!

**Please tell us more about yourself**

BlogHeroes will assign a "guild" to you based on the information you provide below. **Note that none of the fields are required**, but our classification will be better if you provide more information.

**General info about me**  
Please provide some background info to get our matching process started.

Name (first):	John	(last):	Smith
E-mail address:	john@smith.com		



- 25% less disclosed
- No default effect
- Purpose taken into account in disclosure decisions

- > For Investors
- > Contact
- > About us

I♥WRK will find jobs based on the information you enter on this form. **None of the items on the form are required**, but if you provide more information the jobs will be a better match.

**GENERAL AND CONTACT INFO**

*General and contact information*

FIRST NAME	John	LAST NAME	Smith	clear
AGE	23			clear
Gender:	[dropdown]			

call now: 877-123-CODA

Please

ance policy will be based on the **that none of the items are** better tailored to your needs if you

(last):	[input]	fill		
	[input]	fill		
State:	[dropdown]	Zip:	[input]	fill
				fill

# Reconcile personalization with privacy

1. [ “privacy-enabling technologies” ]
2. Find new forms of user interface and interaction design that
  - Increase transparency of personal data usage
  - Empower users to make informed privacy decisions

**“Privacy interventions”**

(ca. 2005)

# Conclusions regarding privacy interventions in user interface and interaction design

- Providing transparency, choice or control at the interface with regard to privacy **inherently biases the user**
- Bias can go in either direction, depending on diverse user characteristics
- Interventions may trigger higher levels of thought (elaboration), and improve users' privacy decision making, or rather serve as a superficial feel-good cue (“privacy placebo”).
- ☞ **Privacy interventions may or may not have the intended effect, and often the effect is different for different people.**
- ☞ **We need to study their effects extremely carefully before deploying them into practice.**

# Using Process Tracing to Improve Household IoT Users' Privacy Decisions

## Challenge:

How can users **manage the privacy settings** of an interconnected set of household IoT applications?

## Solution:

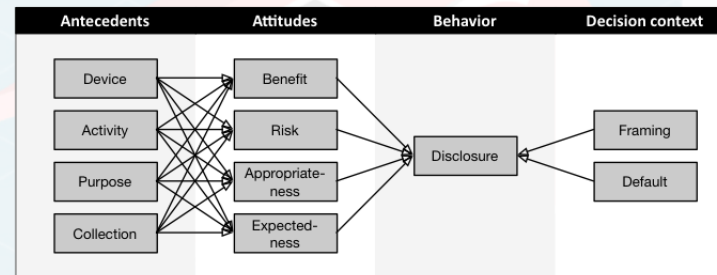
**Uncover users' processes** to better understand how, why and when users' privacy decisions are suboptimal

**Methods:** Eye tracking, process tracing, utility mapping, machine learning

Create and test a simple, **central interface** that integrates privacy settings across all devices within a household

**Methods:** UI design, default profiles, implementation, in-home evaluation

Funded by **NWO** Netherlands and **NSF**,  
Award No.: SES1640664



The camera of X shares data about (see more ⇨)	The camera of X shares data about	your presence	Which room you are in	How active you are
<input checked="" type="checkbox"/> With your other devices (see more ⇨)	With Device Y	<input checked="" type="checkbox"/> stored locally <input checked="" type="checkbox"/> stored in the cloud	<input checked="" type="checkbox"/> stored locally <input checked="" type="checkbox"/> stored in the cloud	<input checked="" type="checkbox"/> stored locally <input checked="" type="checkbox"/> stored in the cloud
	With Device Z	...	...	...
<input checked="" type="checkbox"/> With your manufacturers (see more ⇨)	With manufacturer A	...	...	...
	With manufacturer B	...	...	...
<input checked="" type="checkbox"/> With other parties (see more ⇨)	With third party P	...	...	...
	With third party Q	...	...	...

## Scientific Impact:

**For privacy:** first study to attempt to improve the privacy decision process itself

**For decision sciences:** develop support of decisions where outcomes are vague, uncertain, and emotionally laden

## Broader Impact:

Develop a **technique to study the “decidability”** of privacy setting interfaces

Centralize and simplify the privacy setting interfaces of existing IoT devices

Account for **cultural and regulatory differences**

**Bart P. Knijnenburg**

Clemson University, [bartk@clemson.edu](mailto:bartk@clemson.edu)

**Martijn C. Willemsen**

TU Eindhoven, [m.c.willemsen@tue.nl](mailto:m.c.willemsen@tue.nl)

**Alfred Kobsa**

University of California, Irvine, [kobsa@uci.edu](mailto:kobsa@uci.edu)



# Joint work with...

- Max Teltzrow
- Yang Wang
- Bart Knijnenburg
- Hichang Cho
- Ben Livshits
- Martijn Willemsen